



**Middle Tennessee State University
Audit and Compliance Committee**

Tuesday, September 12, 2017 – 10:00am

ORDER OF BUSINESS

Call to Order

Roll Call

Remarks by Board Chairperson / President

Revised Audit Charter for Audit and Compliance Committee (Action) Tab 1

Results of Prior State Audit Reports (Information)..... Tab 2

Annual Report – Audit and Consulting Services (Information) Tab 3

Compliance and Enterprise Risk Management (Information)

- a. United States Sentencing Guidelines: – Effective Compliance and Ethics Programs Tab 4
- b. Department of Justice “Filip Factors” – Evaluation of Corporate Compliance Programs Tab 5
- c. Green Book to COSO ERM Mapping Tab 6
- d. Compliance and ERM Overview and Activities Tab 7
- e. Financial Integrity Act and State Risk Assessment Reporting Tab 8

Non-Public Executive Session – Discussion of Risk Assessments (Confidential Materials); and, Audits and Investigations (Information)

Public Session - Risk Assessment Report Submittal (Action) Tab 9

Other Business

Adjourn