



**Middle Tennessee State University
Audit and Compliance Committee**

Wednesday, November 29, 2017 – 10:30am

ORDER OF BUSINESS

- I. Call to Order
- II. Roll Call
- III. Remarks by Committee Chairperson / President
- IV. Approval of the Minutes
 - September 12, 2017 Meeting (Action) Tab 1
- V. Compliance and Enterprise Risk Management (Information)
 - a) Power point presentation of the Office of Compliance and Enterprise Risk Management’s activities with examples of the resources provided by the Office Tab 2
 - b) Examples of how the University addresses each of the seven elements of an effective corporate compliance program as described in the United States Sentencing Guidelines..... Tab 3
 - c) Examples of how the University addresses the “Filip Factors” used by the Department of Justice..... Tab 4
- VI. Other Business
- VII. Adjourn Public Session
- VIII. Non-Public Executive Session – Audits and Investigations (Information)
- IX. Adjourn Non-Public Executive Session